Course ID: OP 211 Course Name: Part 217 - Railroad Operating Rules

Pre & Post Test

**Note:** There is only **one** best or correct answer to each question.

1. Particulars about Part 217 are found in which chapter of the OP Enforcement Manual?

- a. 2
- b. 5
- c. 12
- d. 3
- 2. Part 217 includes the following three principal elements:
  - a. Education, Efficiency Testing, and Records Retention.
  - b. Instruction on Operating & Safety Rules, Efficiency Testing, and Recordkeeping.
  - c. Filing of Operating Rules: Operational Tests and Inspections; and Program of Instruction on Operating Rules.
  - d. Guidelines for Conducting Operational Tests; Filing of Operating Rules, and Program of Instruction on Operating Rules.
- 3. The primary purpose of assessing a railroad's operational testing program is to:
  - a. Determine whether or not the program is both in compliance with Federal Regulations, and is effectively implemented.
  - b. Compare Efficiency Testing Data with Accident Data to assist in determining possible causes of suspected human factor caused accidents.
  - c. Evaluate over-all effectiveness of an individual railroad's rules training program.
  - d. Determine over-all rules knowledge and compliance on selected railroad divisions and operating units.
- 4. In order to effectively prepare for an assessment of an operational testing program, the inspector should:
  - a. Study the railroad program, request all of the records for all of the rules for at least an entire division for at least one year, and request a designated officer/manager to answer pertinent questions.
  - b. Interview a number of railroad officers and selected train crews to determine the nature and scope of the efficiency testing program, and arrange to accompany at least two officers during a day of testing.
  - c. Actively conduct and participate in several efficiency tests in selected locations periodically during the year.
  - d. Study the railroad program, request a list of all officers who are required to conduct tests, and request the data covering only the rules, locations, and officers, and time frames you've decided to focus on.

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- 5. In order to effectively assess an operating rules instruction program, the inspector should:
  - a. Request and evaluate all available data the railroad uses, such as study guides, tests, and other instruction materials, then attend at least one operating rules class and evaluate the effectiveness of the presentation.
  - b. Request and evaluate all available data except for tests, review the data, attend two or more classes, and evaluate whether or not the instruction met the objectives stated by the railroad.
  - c. Attend two or more classes, request time to address the group after the instruction ends, then interview the instructor to determine whether or not the instruction met the objectives stated by the railroad.
  - d. Develop a list of questions pertaining to Parts 218 and 220, then interview a sufficient number of train and engine service employees to assess their knowledge of the Federal operating rules.
- 6. An inspector can most effectively assess and enhance their personal knowledge of the operating rules by:
  - a. Attending as many rules class sessions as he/she can reasonably schedule, and arrange to take the test during at least one of these sessions each year.
  - b. Requesting that FRA provide operating rules training at regional or multi-regional conferences.
  - c. By scheduling and participating in as many efficiency testing sessions as he/she can reasonably schedule each year.
  - d. Obtain and review the operating rules self-study guides, and other materials for each railroad in his/her district.
- 7. Generally, the recordkeeping requirements of Part 217:
  - a. Are encoded as a Separate Section of the Part with a common retention period.
  - b. Provides that each principal element carries it's own recordkeeping and retention requirements.
  - c. A separate Section of the Part with different retention requirements for each of the principal element.
  - d. Are specified in Part 217.5.

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8. The electronic recordkeeping requirements call for:

- a. The railroad to maintain terminals at the system headquarters and at each division headquarters; each with the ability to retrieve and produce information in a usable format for immediate review by FRA representatives; a designated representative to authenticate retrieved information from the electronic system as true and accurate; and provide FRA with immediate access to these records for inspection and copying during normal business hours.
- b. The railroad to maintain terminals at each division headquarters and major crew reporting location; each with the ability to retrieve and produce information in a usable format for immediate review by FRA representatives; a designated representative to authenticate retrieved information from the electronic system as true and accurate; and provide FRA with immediate access to these records for inspection and copying during normal business hours.
- c. The railroad to maintain terminals at the system headquarters and at each division headquarters; each with the ability to retrieve and produce information in a usable format for immediate review by FRA representatives; a designated representative to authenticate retrieved information from the electronic system as true and accurate; and, within 24 hours, provide FRA with access to these records for inspection and copying.
- d. The railroad to maintain terminals at the system headquarters and at each division headquarters; each with the ability to retrieve and produce information for review by FRA representatives; a designated representative to authenticate retrieved information from the electronic system as true and accurate; and, within four hours, provide FRA with access to these records for inspection and copying.

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9. Part 217 requires, in part, that each railroad's operational testing program:

- a. Provide for operational testing and inspection under the operating conditions specified in 49 CFR Parts 218 and 220; describe each type of operational test and inspection adopted, including the means and procedures used to carry it out; and state, according to operating divisions where applicable, the frequency with which each type of operational test and inspection is conducted.
- b. Provide for operational testing and inspection under the operating conditions as submitted to, reviewed and approved by FRA; describe each type of operational test and inspection adopted, including the means and procedures used to carry it out; and state, according to operating divisions where applicable, the frequency with which each type of operational test and inspection is conducted.
- c. Provide for operational testing and inspection under the various operating conditions on the railroad; describe each type of operational test and inspection adopted, including the means and procedures used to carry it out; and state, according to operating divisions where applicable, the frequency with which each type of operational test and inspection is conducted.
- d. Provide for operational testing and inspection for those rules encoded in Parts 218, 220, and 240; list each type of operational test and inspection designated by FRA; and state, according to operating divisions where applicable, the frequency with which each type of operational test and inspection is conducted.
- 10. If an inspector encounters problems with either scheduling of operational testing sessions or with the railroad not adhering to scheduled sessions, he/she should:
  - a. Notify their regional or deputy regional administrator and request their intervention.
  - b. Notify a railroad representative such as the train dispatcher of your intention to conduct the testing unaccompanied.
  - c. Contact a higher level railroad representative such as the division superintendent, and request their assistance in resolving the problem.
  - d. Cancel your plans and re-schedule the session at a time that is more convenient for the railroad officer(s).